



PATENT

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

APPLICANTS: Nallan C. Suresh et al.  
APPLICATION NO.: 10/076,961  
FILING DATE: February 15, 2002  
TITLE: Sequencing Models of Healthcare Related States  
EXAMINER: Unknown  
GROUP ART UNIT: 2171  
ATTY. DKT. NO.: 13553-06704

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 7/19/02

By: Robert R. Sachs  
Robert R. Sachs, Reg. No.: 42,120

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

SIR:

Responsive to Notice to File Missing Parts of Non-Provisional Application dated March 19, 2002, received in the above-identified patent application,

☒ Enclosed are:

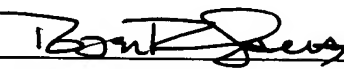
- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ an original, signed Power of Attorney;
- ☒ an Application Data Sheet;

- ☒ Assignment & Assignment Recordation;
- ☒ Preliminary Amendment;
- ☒ Letter to Chief Draftsperson & 3 sheets of formal drawings; and
- ☒ payment in the amount of \$ 1,992 for the filing fees, missing parts surcharge, assignment recordation fee, and two-month extension of time
- ☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,  
NALLAN C. SURESH, ET AL.

Dated: 7/19/02

By:   
Robert R. Sachs, Reg. No.: 42,120  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
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13553/06704/SF/5080130.1

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Signature:			
Typed or Printed Name:	Robert R. Sachs, Registration No. 42,120	Dated:	July 19, 2002

ASSISTANT COMMISSIONER FOR PATENTS  
BOX MISSING PARTS  
WASHINGTON, D.C. 20231

LETTER TO THE CHIEF DRAFTSPERSON

SIR:

Please substitute the enclosed 3 sheets of formal drawings containing Figures 1 - 3 for the 3 sheets of drawings containing Figures 1 - 3 previously submitted.

Respectfully submitted,  
NALLAN C. SURESH, ET AL.

Dated: July 19, 2002

By:

Robert R. Sachs, Registration No. 42,120  
FENWICK & WEST LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (415) 875-2410  
Fax.: (415) 281-1350

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NB

MPB

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/076,961	
<b>TRANSMITTAL FORM</b> (to be used for all correspondence during pendency of filed application)		Filing Date	February 15, 2002	
		First Named Inventor	Nallan C. Suresh	
		Group Art Unit Number	2171	
		Examiner Name	Unknown	
Total Number of Pages in This Submission		31	Attorney Docket Number	13553-06704

**ENCLOSURES (check all that apply)**


<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Response to Notice to File Missing Parts <input checked="" type="checkbox"/> Assignment & Assignment Recordation <input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Power of Attorney <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request to Withdraw as Attorneys (in triplicate) <input type="checkbox"/> Request for Correction of Recorded Assignment <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input checked="" type="checkbox"/> Letter to Chief Draftsperson <input checked="" type="checkbox"/> Formal Drawing(s): [ 3 ] Sheet(s) of Figures [ 1 - 3 ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Copy of Formalities Letter <input checked="" type="checkbox"/> Application Data Sheet <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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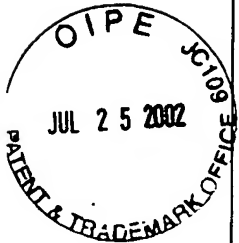
REMARKS: \*

**SIGNATURE OF ATTORNEY OR AGENT**

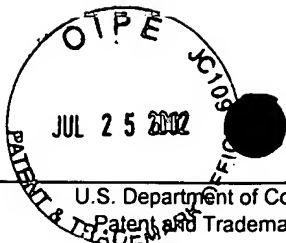
Signature:			
Attorney/Reg. No.:	Robert R. Sachs, Registration No. 42,120	Dated:	July 19, 2002

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Signature:			
Typed or Printed Name:	Robert R. Sachs	Dated:	July 19, 2002
Express Mail Mailing Number (optional):			



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PTO/SB/17 (10-01)(modified)  
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)  
Rev. 10/2001

U.S. Department of Commerce  
Patent and Trademark Office

### FEE TRANSMITTAL

#### TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1,992)**

#### Complete if Known

Application Number	10/076,961
Filing Date	February 15, 2002
First Named Inventor	Nallan C. Suresh
Group Art Unit	2171
Examiner Name	Unknown
Attorney Docket Number	13553-06704

#### METHOD OF PAYMENT

##### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

##### 2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

#### FEE CALCULATION (fees effective 10/01/2001)

##### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	<b>740</b>
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			<b>(\$ 740)</b>

##### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	<b>130</b>
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	
116/\$400	216/\$200	Extension for response within second month <sup>†</sup>	<b>400</b>
117/\$920	217/\$460	Extension for response within third month <sup>†</sup>	
118/\$1,440	218/\$720	Extension for response within fourth month <sup>†</sup>	
128/\$1,960	228/\$980	Extension for response within fifth month <sup>†</sup>	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$460	243/\$230	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<b>40</b>
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			<b>(\$ 570)</b>

##### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		=	
TOTAL	33	minus*	20 or	=	13	x	18	=	234
INDEP	5	minus*	3 or	=	2	x	84	=	168
[ X ] First presentation of multiple dependent claim							280	=	280

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$ 462)**

#### SUBMITTED BY

Typed or Printed Name	Robert R. Sachs	Complete (if applicable)	
Signature		Reg. Number	42,120
		Date	July 19, 2002

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby